



OFFICE of INSPECTOR GENERAL  
NATIONAL RAILROAD PASSENGER CORPORATION

*Via Electronic Mail*

July 3, 2019

Ms. Emma Best  
c/o MuckRock News  
DEPT MR 75067  
411A Highland Ave  
Somerville, MA 02144-2516  
[75067-85801541@requests.muckrock.com](mailto:75067-85801541@requests.muckrock.com)

Re: Freedom of Information Act Request (Request ID 2019-OIG-016)

Dear Ms. Best:

Amtrak's Office of the Inspector General (OIG) received your June 10, 2019 request for information made under the Freedom of Information Act (FOIA) seeking, *"a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2017."*

In response, our office searched for records responsive to your request and, as a result, we have provided you with a case list of all investigative cases that were closed in calendar year 2017, which includes both preliminary and full investigations, as well as both substantiated and unsubstantiated cases.

The case list provides relevant information pertaining to these investigative cases, such as the case number, case title, the date the case was opened/closed, and the general type of misconduct/allegation as related to each case. All reasonably segregable portions of this case list are enclosed, while redacting/withholding certain information (e.g., the names of certain subjects) pursuant to FOIA Exemption 7(C).

Exemption 7(C) recognizes that law enforcement records are inherently more invasive of privacy than "personnel and medical files and similar files." Named individuals have substantial interests in nondisclosure of their identities and connection to particular investigations. In fact, the case law has long recognized, either expressly or implicitly, that *"the mention of an individual's name in a law enforcement file will engender comment and speculation and carries a stigmatizing connotation."*

You have the right to file an administrative appeal within 90 days of the date of this letter. By filing an appeal, you preserve your rights under FOIA and give the OIG a chance to

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review and reconsider your request and the decision. A copy of your initial request, a copy of this letter, and your statement of circumstances, reasons, and arguments should accompany your letter of appeal. Please address your letter of appeal to:

National Railroad Passenger Corporation  
Office of Inspector General  
10 G Street, NE, 3W-300  
Washington, D.C. 20001  
ATTN: FOIA Appeal

If you would like to discuss our response before filing an appeal to attempt to resolve your dispute without going through the appeals process, you may contact our FOIA Public Liaison for assistance. If you are unable to resolve your FOIA dispute through our FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman's office, offers mediation services to help resolve disputes.

We have not assessed any charges to you for processing this request. If you have any questions concerning this response to your request, please contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "Nadine Bennett", with a long horizontal flourish extending to the right.

Nadine J. Bennett  
Associate Counsel  
National Railroad Passenger Corporation (Amtrak)  
Office of Inspector General

Enclosure

## Cases Closed CY2017

Case Number	Case Title	Opened Date	Closing Date	Allegations
DC-12-0146-O	Dynamic Pro LLC, et al.	2/14/2012	12/7/2017	Contract and Procurement- Conflict of Interest
CA-12-0160-HL-1		2/23/2012	11/16/2017	Health Care- Fraudulent Medical Billings
CA-13-0150-O	Doyon Government Group	1/31/2013	8/2/2017	Contract Fraud; Cost Mischarging/Defective Pricing/False Claims; False Statements
PA-13-0157-O	Harper, Donald	2/6/2013	9/27/2017	Major Misconduct- Child Pornography
PA-13-0240-HL-O		4/15/2013	9/20/2017	Major Misconduct- False T&A Records
DC-13-0344-O	Okonite/Electrical Wire and Cable Manufacturers	7/3/2013	6/28/2017	Contract and Procurement- Bribery
DC-13-0360-O	Lodging Abuse	7/22/2013	1/18/2017	Violation of Amtrak Policy
IL-13-0422-O		9/19/2013	3/21/2017	Major Misconduct- Other Crimes on Amtrak Facilities
IL-14-0148-O		1/23/2014	2/24/2017	FELA- False Medical Claims
DC-14-0370-O	Sober Homes Drug Testing	6/9/2014	7/5/2017	Health Care- Fraudulent Medical Billings
MA-15-0122-O	Labor & Overhead Costs Mischarging- Amtrak Procurement Construction Group	1/13/2015	1/10/2017	Cost Mischarging/Defective Pricing/False Claims; False Claims; Proactive/Project Cases
IL-15-0169-O		2/24/2015	3/2/2017	FELA- False Medical Claims
PA-15-0252-O		4/15/2015	8/31/2017	Major Misconduct- False T&A Records
CA-15-0280-O	Aramark Corporation	5/4/2015	1/23/2017	Contract Fraud
PA-15-0287-O	Vogel, Richard	5/7/2015	7/12/2017	Major Misconduct- False T&A Records
PA-15-0298-O	Derailment Amtrak Train 188	5/14/2015	9/18/2017	Major Misconduct- Accident Related Investigation
IL-15-0363-O	- Theft	6/25/2015	2/27/2017	Major Misconduct- Theft/Embezzlement
CA-15-0377-O		7/7/2015	4/7/2017	Contract and Procurement- Bribery; Contract and Procurement- Kickbacks
DC-15-0408-HL-O		7/22/2015	9/18/2017	Major Misconduct- Falsification/Forgery Related to Amtrak Documents
CA-15-0470-O	Holophane/Acuity Brands Lighting, Inc.; KingsStubbins, Inc.; Kemp Brothers Construction, Inc. and Jacobs Engineering	9/2/2015	1/20/2017	Contract Fraud
MA-16-0005-O		10/2/2015	4/24/2017	Major Misconduct- GSA Fuel Card Fraud
CA-16-0006-O		10/2/2015	4/17/2017	FELA- False Medical Claims
DC-16-0029-HL-O		10/22/2015	3/2/2017	Major Misconduct- Conflict of Interest
DC-16-0043-HL-O		11/4/2015	7/5/2017	Major Misconduct- Theft/Embezzlement



CA-16-0050-O	Asbury Environmental Services	11/9/2015	4/13/2017	Major Misconduct- Environmental Crimes
DC-16-0056-HL-O	[REDACTED]	11/17/2015	6/2/2017	Major Misconduct- Falsification/Forgery Related to Amtrak Documents
DC-16-0058-HL-O	[REDACTED] - Violation of Amtrak Policy	11/17/2015	5/2/2017	Major Misconduct- Theft/Embezzlement
IL-16-0059-P	[REDACTED]	11/18/2015	7/10/2017	Major Misconduct- E-Voucher Fraud
PA-16-0063-HL-O	[REDACTED]   Mike's Auto	11/23/2015	4/6/2017	Violation of Amtrak Policy
PA-16-0109-O	AC Equipment & Rentals	1/27/2016	11/27/2017	Contract and Procurement- False Claims
IL-16-0124-HL-O	Living Space Constructions	2/5/2016	7/17/2017	Contract and Procurement- Overbilling
IL-16-0128-HL-P	[REDACTED]	2/10/2016	4/18/2017	GSA Fuel Card Fraud; Violation of Amtrak Policy
IL-16-0143-O	[REDACTED]	2/22/2016	2/15/2017	Major Misconduct- Theft/Embezzlement
IL-16-0145-O	[REDACTED] et. al.	2/23/2016	11/13/2017	Major Misconduct- Drug Investigation
HQ-16-0161-O	[REDACTED]	3/9/2016	3/23/2017	Major Misconduct- Accident Related Investigation
IL-16-0166-O	[REDACTED]	3/15/2016	7/6/2017	Violation of Amtrak Policy
PA-16-0169-P	[REDACTED]	3/16/2016	1/18/2017	Drug Investigations; Violation of Amtrak Policy
CA-16-0190-HL-O	[REDACTED] - Registered Sex Offender	4/19/2016	8/28/2017	Major Misconduct- Failure to Disclose Criminal History
IL-16-0210-O	Davila, David	5/5/2016	10/27/2017	Major Misconduct- Theft/Embezzlement
IL-16-0211-HL-O	[REDACTED] Project Manager	5/5/2016	6/19/2017	Major Misconduct- Conflict of Interest
PA-16-0223-O	Case Foundation Company	5/12/2016	6/20/2017	Contract and Procurement- Overbilling
PA-16-0239-O	[REDACTED]	5/24/2016	12/6/2017	FEIA- False Medical Claims
IL-16-0249-O	Proactive - Unreported DUI or other Convictions-Nationwide	5/31/2016	11/7/2017	Major Misconduct- Failure to Disclose Criminal History
PA-16-0250-O	[REDACTED]	5/31/2016	4/26/2017	Major Misconduct- Conflict of Interest
DC-16-0265-HL-O	Shohana Culberson	6/7/2016	7/10/2017	Major Misconduct- Theft/Embezzlement
HQ-16-0272-O	[REDACTED]	6/8/2016	2/8/2017	Major Misconduct- Pornography
CA-16-0285-HL-P	[REDACTED] - Unauthorized Amtrak Funds	6/20/2016	2/14/2017	Major Misconduct- Violation of Amtrak Policy
DC-16-0301-HL-O	[REDACTED]	7/6/2016	12/11/2017	Major Misconduct- Other Crimes on Amtrak Facilities
IL-16-0316-O	[REDACTED]	7/20/2016	9/12/2017	Major Misconduct- Misuse of Amtrak Seal/Insignia/Emblem/Name/Acronym
DC-16-0368-P	Forensic Support Provided To Amtrak APD	8/24/2016	1/19/2017	Assisting Law Enforcement
CA-16-0369-O	[REDACTED]	8/25/2016	4/12/2017	FEIA- False Medical Claims
IL-16-0372-O	[REDACTED] and Unidentified Crew Members	8/30/2016	4/11/2017	Major Misconduct- Drug Investigation; Major Misconduct- Misconduct Involving Serious Safety Issues (Speeding; Signal Violations; Drug Use; PED Use; Falsifying Safety Records; Failure to
DC-16-0385-HL-P	[REDACTED]	9/16/2016	1/18/2017	Fraud
CA-16-0409-O	[REDACTED]	9/29/2016	8/3/2017	Major Misconduct- Theft/Embezzlement

IL-17-0003-O	Proactive: Theft of Amtrak Property and Equipment-Central and Southern Divisions	10/4/2016	10/31/2017	Major Misconduct- Theft/Embezzlement
IL-17-0004-P	[REDACTED]	10/4/2016	8/10/2017	Major Misconduct- Kickbacks
CA-17-0026-HL-P	[REDACTED] - Special Positions	10/13/2016	4/10/2017	Major Misconduct- Violation of Amtrak Policy
CA-17-0054-O	[REDACTED]	11/9/2016	12/11/2017	Major Misconduct- Failure to Disclose Criminal History
CA-17-0059-O	[REDACTED]	11/16/2016	4/11/2017	Major Misconduct- Purchase Card Fraud
PA-17-0064-HL-P	[REDACTED]	11/21/2016	1/23/2017	Violation of Amtrak Policy
IL-17-0070-HL-P	[REDACTED]	11/30/2016	3/31/2017	Major Misconduct- Violation of Amtrak Policy
DC-17-0085-HL-P	APD - Cellular Devices	12/15/2016	2/7/2017	Computer Crime
MA-17-0091-HL-O	[REDACTED]	12/21/2016	8/29/2017	Contract and Procurement- Conflict of Interest; Major Misconduct- Other Crimes on Amtrak Facilities; Major Misconduct- Violation of Amtrak Policy
IL-17-0095-P	[REDACTED]	1/3/2017	4/7/2017	FELA- False Medical Claims
PA-17-0115-HL-P	Peebles Corporation	1/18/2017	2/9/2017	Contract and Procurement- Anti-Trust, Bid Rigging/Collusion
PA-17-0121-P	[REDACTED] Mark 1 Restoration Company	1/24/2017	2/7/2017	Major Misconduct- Violation of Amtrak Policy
DC-17-0128-O	[REDACTED]	2/1/2017	7/12/2017	Major Misconduct- Failure to Disclose Criminal History
DC-17-0135-HL-P	Amtrak Employee -	2/7/2017	4/5/2017	Major Misconduct- Violation of Amtrak Policy
DC-17-0144-HL-P	[REDACTED]	2/10/2017	10/2/2017	Major Misconduct- False T&A Records
PA-17-0157-P	[REDACTED]	2/23/2017	5/24/2017	Major Misconduct- Falsification/Forgery Related to Amtrak Documents
IL-17-0158-P	[REDACTED]	2/23/2017	4/7/2017	Major Misconduct- Benefit Fraud
HO-17-0177-O	Alleged Whistleblower Retaliation, [REDACTED]	3/13/2017	5/1/2017	Major Misconduct- Retaliation
IL-17-0187-HL-P	Misuse [REDACTED] - Vehicle	3/23/2017	6/29/2017	Major Misconduct- Violation of Amtrak Policy
DC-17-0201-P	Property [REDACTED] - Illegal Drug Use on Amtrak	4/7/2017	10/17/2017	Major Misconduct- Drug Investigation
DC-17-0205-P	[REDACTED]	4/10/2017	11/27/2017	Major Misconduct- Violation of Amtrak Policy
CA-17-0220-HL-P	[REDACTED] - Request Cash Loans	4/19/2017	9/25/2017	Major Misconduct- Violation of Amtrak Policy
CA-17-0228-HL-P	Talpo, Inc Trainsets	4/25/2017	10/18/2017	Contract and Procurement- False Claims
PA-17-0231-HL-P	[REDACTED]	4/26/2017	10/3/2017	Major Misconduct- Violation of Amtrak Policy



PA-17-0232-HL-P	<a href="#">[REDACTED] - Theft</a>	4/26/2017	9/18/2017	Major Misconduct- Violation of Amtrak Policy
MA-17-0235-HL-P	<a href="#">Boston Lost and Found</a>	4/27/2017	6/12/2017	Major Misconduct- Violation of Amtrak Policy
CC-17-0252-HL-Q	<a href="#">eBay e-Voucher Sales</a>	5/19/2017	12/19/2017	Major Misconduct- E-Voucher Fraud
HQ-17-0260-P	<a href="#">Internal-17-0001</a>	5/22/2017	6/15/2017	Major Misconduct- Non Criminal Complaint
PA-17-0261-HL-P	<a href="#">[REDACTED]</a>	5/22/2017	9/28/2017	Major Misconduct- Non Criminal Complaint
PA-17-0262-HL-P	<a href="#">[REDACTED]</a>	5/23/2017	8/21/2017	Major Misconduct- Violation of Amtrak Policy
PA-17-0269-HL-Q	<a href="#">[REDACTED]</a>	6/5/2017	11/28/2017	Contract and Procurement- Kickbacks
CA-17-0279-HL-P	<a href="#">Alleged Improper Hiring Practices - [REDACTED]</a>	6/14/2017	8/3/2017	Hotline- Customer Complaint/Train Delay
HQ-17-0280-P	<a href="#">Capital Search Group - [REDACTED]</a>	6/16/2017	8/15/2017	Contract and Procurement- False Claims
PA-17-0290-Q	<a href="#">[REDACTED]</a>	6/27/2017	9/28/2017	Major Misconduct- GSA Fuel Card Fraud
PA-17-0292-P	<a href="#">ACCIDENT - AMTRAK TRAIN 175</a>	6/28/2017	8/7/2017	Major Misconduct- Accident Related Investigation
PA-17-0295-P	<a href="#">Bear Maintenance Facility- R-22 Refrigerant Theft</a>	7/5/2017	9/1/2017	Major Misconduct- Theft/Embezzlement
CA-17-0318-HL-P	<a href="#">Chambers Bay Derailment</a>	7/19/2017	11/30/2017	Major Misconduct- Violation of Amtrak Policy
CA-17-0324-HL-P	<a href="#">[REDACTED] - Fraudulent C. Card Charges</a>	7/26/2017	9/12/2017	Major Misconduct- Violation of Amtrak Policy
DC-17-0350-HL-P	<a href="#">Amtrak Vehicles â€œ Mariner Point Park</a>	8/22/2017	10/17/2017	Major Misconduct- Violation of Amtrak Policy
PA-17-0352-O	<a href="#">Asplundh Tree Expert Company</a>	8/24/2017	12/5/2017	Contract and Procurement- False Claims
PA-18-0063-HL-P	<a href="#">[REDACTED]</a>	11/28/2017	12/7/2017	Major Misconduct- Violation of Amtrak Policy

Total Records: 95